

This form needs to be notarized before Submission.

TRADING AUTHORISATION

To,
ICICI Securities Limited.
Shree Sawan Knowledge Park,
Plot No - D 507, T.T.C Ind Area, M.I.D.C
Turbhe,Opp. Juinagar Railway Station,
Navi Mumbai - 400705.

Date: _____
Sr. No. _____

Dear Sir(s)

**TRADING AUTHORISATION AND APPOINTMENT OF TRADING AGENT FOR THE
CAPITAL MARKET AND THE DERIVATIVES MARKET**

Authorisation and Appointment

1. Mr/Mrs/M/s _____ and
his/her/their employees or nominated person or agents or any person advised by them.
(the Trading Agent'), PAN_No. _____ and
contact number/s _____ whose address is _____

has been appointed and authorised by me/us as my/our agent to place orders on my/our trading accounts (including trading accounts for the purchase and sale of or other dealing in securities, spot or forward or derivatives for and on my/our behalf in my /our name (whether solely or jointly with others).

2. I/We understand that pursuant to this agreement and authorisation, the Trading Agent will place orders on the trading account maintained in my/our name (whether solely or jointly with others) with you ("the Account"). These orders may be placed in whichever mode/channel available and offered by ICICI Securities Ltd.

3. For the avoidance of doubt, please note that subject to paragraph 4 below, the Trading Agent has been authorised to do any or all of the following in relation to, arising from or in connection with the Account:

a) to buy, sell, transfer, trade and otherwise deal in local securities, and derivatives contracts on individual securities and indices, (collectively "transactions") of every kind and nature, on margin or otherwise, all in the Trading Agent's sole discretion and in my/our name;

b) generally to act in relation to the Account as fully and effectually in all respects as I/we myself/ourselves could do, including pledge/transfer of shares for margin, withdrawal or credit of securities/money in my/our name.

Indemnification

In connection with the authority vested by me/us in the Trading Agent, I/we agree that you are authorised to and shall have no liability for following the instructions of the Trading Agent, I/we agree therefore that you shall not at any time incur any liability whether to me/us or to anyone claiming through me/us or any other third party with respect to any damage, losses, costs, expenses liabilities or lost profits sustained or alleged to have been sustained as a result of your following the Trading Agent's instructions. For the avoidance of doubt I/we hereby agree to keep you indemnified and harmless against all damage, losses, lost profits, costs, expenses and liabilities (including legal fees on a solicitor) that you may incur in relation to or in connection with following the Trading Agent's instructions. I/We further agree to pay on demand without protest or conditions any debit balance on my/our account and to promptly meet all margin requirements, as a result of the Trading Agent's instructions. This authorisation and indemnity is in addition to, and in no way prejudices, limits or restricts any rights or remedies you may have under any other agreement or agreements between us or howsoever otherwise arising.

For the avoidance of doubt, I/we hereby ratify and confirm any and all transactions with you heretofore or hereafter made by the Trading Agent for the Account.

Authority to trade for other accounts

I/We hereby notify you that I/we have no objection to the Trading Agent having at present or at any time in the future, the authority to trade for one or more accounts with you (including but not limited to trading on his own account, on a joint account held by him with one or more persons, as an authorised trader of a corporation or as the agent of one or more accounts held by any of your customers with you). In view of the potential conflict of interests in this matter, I hereby indemnify you for all and any liabilities whatsoever arising from his operation of the Account under such circumstances.

Disclosure of information

1. I/We hereby authorise you to release to the Trading Agent Information he may require from time to time (including but not limited to the contracts, bills, daily statements).

Revocation

2. This authorisation shall remain in effect until revoked by me/us by a written notice addressed to you and delivered personally or by registered post to your office at Shree Sawan Knowledge Park, Plot No. D-507, T.T.C.Ind. Area, M.I.D.C., Turbhe, Opp. Juinagar Railway Station, Navi Mumbai - 400705 and giving you at least 48 hours notice of such revocation which time period shall only run upon actual receipt of the notice by you. Such revocation shall not affect your liability resulting from transactions initiated prior to (including the 48 hours notice period) such revocation taking effect.

Successors

3. The authorisation and indemnity contained herein shall inure to the benefit of your successors and assigns.

Principal liability

4. I/We fully understand that I/we will be principally liable for the actions of the Trading Agent and that the Trading Agent is not your servant or agent but my/our agent.

Yours faithfully

X _____
Signature of Applicant

Name: _____

Acceptance by Trading Agent

I/We, _____

("the Trading Agent") PAN No. _____ hereby accept

(Please note that the PAN details are being used only for identification and will not be shared with external parties except as required under any law/regulatory requirements.)

Signature: _____

Date: _____

CHECKLIST FOR TRADING AUTHORISATION

SR no:		
Match ID :-		
TRADING AGENT FORM	Points To Remember	Checked(Pls tick)
Customer Name	Mandatory and as per trading account	
SR No	Mandatory to mention	
Match ID	Match account wherein Trading Agent needs to update	
Applicant Signature	Account holder(Applicant) signatue on Request letter	
Applicant Name	Should be correct,clear & readable and as per trading account.	
Applicant account status	Should be other then suspend status	

DETAILS OF TRADING AGENT	Points To Remember	Checked(Pls tick)
Trading Agent Name	Mandatory to mention and as per PAN card.	
PAN card Number of Trading Agent	Mandatory to mention PAN card number of Trading Agent on 1st & 3rd page	
Signature of the Trading Agent	Trading Agent signature is mandatory.	
Contact Number of Trading Agent	Mandatory to mention mobile number of Trading Agent	
Address of Trading Agent	Mandatory to mention address of Trading Agent	

SUPPORTING DOCUMENTS	Points To Remember	Checked(Pls tick)
PAN card of Trading agent	Pan card should be self attested & PAN card number is Mandatory on 1st & 3rd page	

KEY POINTS

- *On 1st page TA's Details should be mentioned ie. Name , pan card number (Mendotory)/Passport number, Mobile Number & Address.
Form needs to be notarized before submission.
- *On 3rd page requires the acknowledgement of customers name , signature and acceptance by Trading Agent.
- *Applicant can not be updated in his IDIRECT account Himself/ Herself as TA.
- *Only one Trading Agent can be updated in IDIRET account.
- *Trading Agent cannot be updated in HUF account.
- *For corporate account BOARD RESOLUTION is mandatory
- *Signature of both trading agent and account holder is mandatory.

EMPLOYEE DETAILS :	
Name:-	
Emp Code:-	
Signature :-	
Date:-	