Annexure S3 (Ver 1.3)	<u>Page 1</u>						
Request for Scheme Preference Change <u>NSDL e-Governance Infrastructure Limited</u> (Please fill all the details in CAPITAL LETTERS & in BLACK INK only.)							
For POP-SP use: POP-SP Registration No. :							
Receipt No.:	Receipt Date ://						
PRAN *: Name of the Subscriber *:							
Scheme Preference Change: Tier I 🗌 Tier II 🗌							
(i). Pension Fund (PF) Selection (Select only one PF): Selection of PFM is mandatory be In case you do not indicate a choice of PFM, your application form shall be summarily							
	<u>rejetted.</u>						
*Name of the Pension Funds are given in alphabetical order.   PFM Name (Please select only one) Please Tick (√) only one							
Birla Sunlife Pension Management Limited							
HDFC Pension Management Company Limited							
ICICI Prudential Pension Funds Management Company Limited							
Kotak Mahindra Pension Fund Limited							
LIC Pension Fund Limited							
SBI Pension Funds Private Limited							
UTI Retirement Solutions Limited							
(ii). Investment Option: [Please Tick ( $$ ) in the box given below showing your investment option] Active Choice Auto Choice							

Please note:

- 1. In case you select Active Choice fill up section (iii) below and if you select Auto Choice fill up section (iv) below.
- 2. In case you do not indicate any investment option, your funds will be invested in Auto Choice (LC 50).
- 3. In case you have opted for Auto Choice and fill up section (iii) below relating to Asset Allocation, the Asset Allocation instructions will be ignored and investment will be made as per Auto Choice (LC 50).

4.

### (iii). Asset Allocation (To be filled up only in case you have selected the 'Active Choice' investment option)

Asset Class	E (Cannot exceed 75%)	C (Max up to 100%)	G (Max up to 100%)	A # (Cannot exceed 5%)	Total	Note:1. Asset class E-Equity and related instruments; Asset class Corporate debt and related instruments; Asset class G-Government Bo and related instruments; Asset Class A-Alternative Investment Fu including instruments like CMBS, MBS, REITS, AIFs, Invlts etc
Specify %					100%	

#As per existing NPS guidelines, option to select Asset Class – A is available only for Tier I. In case, you have filled up Asset Class – A for Tier II, your application shall be summarily rejected.

Please note:

- 1. Upto 50 years of age, the maximum permitted Equity Investment is 75% of the total asset allocation.
- 2. From 51 years and above, maximum permitted Equity Investment will be as per the equity allocation matrix provided in **Annexure A.** The tapering off of equity allocation will be carried out as per the matrix on date of birth.
- 3. The total allocation across E, C, G and A asset classes must be equal to 100%. In case, the allocation is left blank and/or does not equal 100%, the application shall be rejected.

### Annexure S3 (Ver 1.3)

Life Cycle	DI TILCHOIC		
(LC)Funds	Please Tick ( $$ ) Only One	Note: 1 I C 75- It is the Life cycle fu	nd where the Cap to Equity investments is 75% of the total asset
LC75		2. LC 50- It is the Life cycle fu	nd where the Cap to Equity investments is 50% of the total asset
LC50			nd where the Cap to Equity investments is 25% of the total asset
LC25			1 1 2
	For P	OP/POP-SP use:	POP/POP-SP Stamp:
Subscriber's Thumb In			
		Perforation) - Acknowledgement	to the Subscriber
e filled by POP/P	OP-SP) nange/switch:	Tier II	
me rreierence ci	lange/switch: 11er I		
SP Registration N	lumber:	PRAN:	
e of the Subscribe	r:		Date:// Time Stamp:
ipt Number be provided by PO	P/POP-SP)		
P Transaction Ch	arges: Rs.	2. Tax as	Rs.
otal Charges:	Rs.		
			Signature/Stamp of POP/POP-SP/Place
<ul><li>The Sub</li><li>For more</li></ul>	scribers shall submit the ap	<b>Instruction for filling th</b> ory. All Dates should be in DDMM pplication to POP-SP through which ne, you may visit CRA website (wy Tier I and Tier II	IYYYY Format. a they have registered with CRA.

3. A Subscriber opting for active choice may select the available asset classes ("E", "C", "G", & "A"). However, the sum of percentage allocation across all the selected asset classes must equal 100. If the sum of percentage allocations is not equal to 100%, or the asset allocation table is left blank, the application shall be rejected.

#### Auto choice

- 4. A Subscriber opting for Auto Choice must also select a PFM. The application shall be rejected if the Subscriber does not indicate his/her choice of PFM.
- 5. In case of Auto Choice, DO NOT fill up section for Asset Allocation. In case you do, the Asset Allocation instructions will be ignored and investment will be made as per Auto Choice.

In case both the investment option and the asset allocation are left blank, the Subscriber's funds will be invested as per Auto Choice

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## Annexure A to S3 (Ver 1.2)

Age (years)	Max. Equity Allocation
Upto 50	75%
51	72.50%
52	70%
53	67.50%
54	65%
55	62.50%
56	60%
57	57.50%
58	55%
59	52.50%
60 & above	50%

# **Equity Allocation Matrix for Active Choice**

Please note:

- 1. Upto 50 years of age, the maximum permitted Equity Investment is 75% of the total asset allocation.
- 2. From 51 years and above, maximum permitted Equity Investment will be as per the equity allocation matrix provided above. The tapering off of equity allocation will be carried out as per the matrix on date of birth.