

**Authorization to trade on new segment/ exchange.**











To,  
ICICI Securities Limited,  
Unit: Sales Operations Department,  
Shree Sawan Knowledge Park,  
Plot No. D-507, T.T.C. Industrial Area,  
M.I.D.C., Turbhe, Navi Mumbai – 400 705.  
Navi Mumbai - 400705

Date: \_\_\_\_\_

Sr. No. \_\_\_\_\_

Re : ICICI Securities Trading Account number Match ID / User ID \_\_\_\_\_

- I/We, ....., hereby provide consent to trade in below segment/ exchange and have indicated my preference by appending signature against respective segment. I/We understand that segment shall be enabled by I-Sec on the basis of the Account opening form / KRA/CKYC and other documents including Power of Attorney already provided by me/us at the time of opening of the trading account with I-Sec.
- I/We further understand and acknowledge that the KYC, mandatory documents and voluntary documents like power of attorney, running account authorisation, etc. where ever applicable, executed at the time of registering me/us or thereafter as a client under the client code, applies mutatis mutandis to trade(s) in below segment. In case of any changes therein, I undertake to update the same immediately. I am aware that trading in below segment shall be subject to regulatory requirements of the Exchange and SEBI from time to time.
- I have read, received and understood Rights & Obligations, Risk Disclosure Document, Guidance notes and Policies and Procedures, tariff sheet (Copies of the same is available in your login).
- I have understood risk involved in trading in below segment.
- I undertake that I shall take due care and precautions while trading in below segment.
- The client agrees to keep his mobile number and email Id update in our records.

Trading Preference (Exchange)		
National Stock Exchange (NSE)	Bombay Stock Exchange (BSE)	Multi Commodities Exchange of India Ltd (MCX),.
<b>Segments</b>   X ③ Signature of Sole Applicant  Future and Option   X ④ Signature of Sole Applicant  Currency Derivative	 X ⑥ Signature of Sole Applicant  Future and Option   X ⑦ Signature of Sole Applicant  Currency Derivative	 X ⑧ Signature of Sole Applicant  Future and Option

As required by SEBI circular no. MIRSD/SE/Cir-19/2009 dated December 3, 2009 on Dealing between client and a stock broker, please find my financial document enclosed herewith as ticked below for Derivate segment enablement:

- Self attested Latest Form 16
- Self attested Latest Salary Slip not earlier than 3 months old
- Self attested Copy of Latest annual account (Balance Sheet and Profit & Loss account)
- Self attested Latest IT Return acknowledgment
- Self attested Latest Net-worth Certificate
- Self attested Latest half yearly bank statement
- Self attested Copy of demat account holding statement.

Please activate my account for trading in above segment.

Yours sincerely,

**x +**  
\_\_\_\_\_  
Signature of Applicant

**CHECKLIST FOR FNO & CDX ACTIVATION**

SR no:  
Match ID :-

Request letter	Point To Remember	Checked(Pls tick)
Date	Mandatory to mention on the request letter	
Trading account no /User ID	Mandatory to mention on the request letter	
Applicant name	It should be as per trading account	
signature on FNO CDX derivatives	Mandatory to mention on the request letter	

Supporting Financial Documents	Point To Remember	Checked(Pls tick)
Any one of the below		
Latest Form 16	It should be of current year and self attested	
Latest Salary Slip	Not earlier than 3 months old & salary should be more than 8000	
Copy of Latest annual account (Balance Sheet and Profit & Loss account)	It should be of current year and self attested	
Latest IT Return acknowledgment	It should be of current year and self attested	
Latest Net-worth Certificate	It should be of current year and self attested	
Latest half yearly bank statement	Bank balance should be 5000 or more than 5000 in atleast one month and it should be authenticated by banker	
Copy of demat account holding statement	Demat holding more than 5000 and should be of latest 3 months	

KEY POINTS
Any modification /rectification done on documents should be authenticated ( counter signed )
Customer need to sign on derivatives segment if he wants to opt for
Self attestation of applicant is mandatory on all supporting documents.
Copy of all supporting proofs should be clear/visible & readable
For NRI client CP code & exchange letter is mandatory
F&O can only be activated in NON Pins NRO Account
Trading account no. starting with 650/750.. is PINS NRE/ NRO Account.
Trading account no. starting with 651/751.. is NON PINS NRE/NRO Account.
Bank balance in any of the 6 months should be 5000 or more
Demat balance in any of the 3 months should be 5000 or more

EMPLOYEE DETAILS :	
Name:-	
Emp Code:-	
Signature :-	
Date:-	