

### Request for linking Bank / Demat Accounts to ICICIdirect.com Trading Account

To,  
**ICICI Securities Ltd.,**  
Mumbai

Date: \_\_\_\_\_

**Subject: Request for linking Bank / Demat Accounts to ICICIdirect.com Trading Account**

Dear Sir/Madam,

I/We hereby request you to **DELINK** and **LINK** existing **Bank / Demat** account as mentioned below:






Request for: ☐ Bank Linking ☐ Demat Linking ☐ Both

| For Resident Individual / Corporate Account Holder |                |                 |                 |           |           |           |           |
|--|----------------|-----------------|-----------------|-----------|-----------|-----------|-----------|
| Action Type  | Trading A/c no | Bank account no | Demat A/c       | Demat A/c | Demat A/c | Demat A/c | Demat A/c |
| Request for Delinking                              |                |                 |                 |           |           |           |           |
| Request for Linking                                |                |                 |                 |           |           |           |           |
| For NON Resident Account Holder                    |                |                 |                 |           |           |           |           |
| Action Type  |                | Trading A/c no  | Bank account no | Demat A/c | Demat A/c | Demat A/c | Demat A/c |
| Request for Delinking                              | NRE PINS       |                 |                 |           |           |           |           |
| Request for Linking                                |                |                 |                 |           |           |           |           |
| Request for Delinking                              | NRE NON PINS   |                 |                 |           |           |           |           |
| Request for Linking                                |                |                 |                 |           |           |           |           |
| Request for Delinking                              | NRO PINS       |                 |                 |           |           |           |           |
| Request for Linking                                |                |                 |                 |           |           |           |           |
| Request for Delinking                              | NRO NON PINS   |                 |                 |           |           |           |           |
| Request for Linking                                |                |                 |                 |           |           |           |           |

**Please note:**

- I/We request you to remove the Quantum Optima Facility from our above mentioned savings bank account requested for linking, and all my Quantum Optima Fixed Deposits be closed and credited to my above mentioned savings bank account.
- I/We hereby request you to replace my existing savings bank account number in Demat records, with the above given new savings bank account number. I request you to kindly consider my new savings bank account only for corporate benefits and the bill payments related to all my Demat accounts linked to my ICICIdirect.com trading account.
- I/We therefore authorize ICICI Securities Limited / ICICI Bank Limited to debit my / our above mentioned Linked Savings bank account with applicable Stamp Duty charges payable on execution of this Demat Debit and Pledge Instruction (DDPI)
- I hereby authorize ICICI Securities Limited to collect Bank account Verification letter / Address verification letter from ICICI Bank Limited for submissions at all investments made through ICICI Direct Account (i.e Mutual Funds / FD's) etc.

Thanking You,

|  |  |  |  |  |
|--|--|--|--|--|
| <br>Signature of 1st applicant | <br>Signature of 2nd holder | <br>Signature of 3rd holder | <br>Signature of Demat Joint Holder A | <br>Signature of Demat Joint Holder B |
|--|--|--|--|--|

**ICICI Securities Ltd.**

## VOLUNTARY DOCUMENT

### B. SETTLEMENT MODE

This document is voluntary, however, the same is required to be executed by the customers in order to avail of hassle free trading and settlement services.

To,  
ICICI Securities Limited  
Shree Sawan Knowledge Park,  
Plot No. D-507, T.T.C.Ind. Area, M.I.D.C.,  
Turbhe, Opp. Juinagar Railway Station,  
Navi Mumbai - 400 705.

Dear Team,

**Sub: Running Account Authorisationfor Trading account to be opened via above application form number.**

In order to facilitate ease of operations, I/we hereby opt for Running Account Authorisation (RAA) for my/our trading account wherein funds in my/our trading account will be settled by ICICI Securities Limitedasper the frequency given below:-

☐ Once in a Quarter ☐ Once in a Month

I/we understand that no interest would be payable by ICICI Securities Limited on funds retained in my/our trading account.

The actual settlement of funds will be done as per the preference opted by me/us stated above.I/weauthorise ICICI Securities Limited to settle my/our trading account after retaining funds as may be permitted by SEBI/ Exchangesfrom time to time while settling my/our account. I/we hereby authorize ICICI Securities Limited to email me/us Statement of Account, Retention Statement and such other statements as on the date of settlement to my/our email address registered in your records. I/we understand that for the purpose of settlement of funds, the mode of transfer of funds shall be only by way of electronic transfer viz NEFT, RTGS etc. and the same would be creditedto my/our linked bank account openedwith ICICI Bank for this purpose or such other bank account as linked to my/our trading account from time to time. I/we hereby undertake that I/we shall be keeping my/our linked bank account(s) as Active which is/are mapped to my/our trading account. I/we hereby further undertake to provide new bank account details along with proof(s) to ICICI Securities Limited in case of my/our existing bank account(s) is/are become inoperative for any reason and ICICI Securities Limited may deactivate my/our trading account for further trading till bank account details are updated to my/our trading account.

This authorization shall be effective from the date notified toICICI Securities Limited.

This authorization for maintaining my/our account on running basiscan be revoked any time after giving a notice in writing to ICICI Securities Limited.

Thanking you,

Yosurs Faithfully,

Date: \_\_\_\_\_

 (11) Signature of Sole Applicant

## Demat Debit and Pledge Instruction (DDPI)

Background: The Client desirous of investing and/ or trading in securities, making investments and entering into various transactions (hereinafter, for the sake of convenience, collectively referred to as “Purpose”) with or through ICICI Securities Limited (“ICICI Securities”) and for this Purpose the Client has to sign the account opening form together with other documents including the Mandatory Rights and Obligations prescribed by SEBI/Stock Exchanges, Risk Disclosure Document, Policy & Procedures, Guidance Note, Letter of Confirmation by the Client in favour of ICICI Securities and such other documents provided to the Client from time to time and/or published on the website of ICICI Securities (hereinafter collectively referred to as the “Account Opening Documentation”).

SEBI has prescribed this document under which the client shall explicitly agree to authorize the stock broker to access their Beneficial Owner account for the limited purpose of meeting pay-in obligations for settlement of trades executed, to initiate pledge for the limited purpose of meeting the margin obligation, Mutual Fund (MF) transactions executed on stock exchange order entry platforms and tendering shares in open offers through exchange platforms.

This Instruction is voluntary, however, the same is required to be executed by the client in order to avail seamless trading platform with integrated demat account and broking account. If client do not opt for this Instruction, then client has to complete the settlement by issuing physical delivery instruction slip (DIS) or electronic delivery instruction slip (eDIS).

Pursuant to the provisions of the Account Opening Documentation, the Client [hereinafter referred to as the “Account Holder”] either has (i) existing demat account (mentioned/referred in application bearing reference number as set out in item number 2 in the schedule – B below) or will open (ii) new demat account (to be opened with application bearing reference number as set out in item number 2 in the schedule - B below), with ICICI Bank Limited (“Bank”). All such demat accounts (either existing or newly opened) are entailed in item number 1 of the schedule - B below and described as the “Associated Accounts” for the purpose of Account Opening Documentation.

Pursuant to the provisions of the Account Opening Documentation, the Account Holder has agreed to execute and deliver DDPI to ICICI Securities and instructions as referred below to ICICI Securities in respect of the Associated Accounts. The Account Holder hereby authorise ICICI Securities to annex the Associated Accounts to this DDPI.

I/We hereby request ICICI Securities/Bank to give effect to this DDPI only after filling/verifying of the details of Associated Accounts and execution of this DDPI by ICICI Securities, who shall be the last party executing this DDPI (“Execution Date”).

I/We acknowledge and agree that ICICI Securities may at its sole discretion decide to suspend the Associated Accounts, close my/our trading account and solely reserves the right to suspend/ cancel services / facilities being granted to me/us by virtue of the Account Opening Documentation and may at its discretion decide to cancel this DDPI granted and executed by me/us at any time it deems fit and proper by providing such intimation on registered e-mail id or any other form.

### Instructions:

Under the terms of the Account Opening Documentation, the Account Holder has agreed to execute and deliver a DDPI to ICICI Securities / Bank in respect of the Associated Accounts of which he/she is the holder, which the Account Holder hereby does as under: -

To transfer/pledge/re-pledge any securities/MF units/tendering shares in open offer from any Associated Account(s) to any account(s) of ICICI Securities specified in the schedule - A hereto or to such other accounts as may be specified on the website of ICICI Securities and by electronic mail or otherwise for the following purposes:

|   |                            |                              |                              |
|---|----------------------------|------------------------------|------------------------------|
| To transfer securities towards stock exchanges related delivery and/or settlement obligations arising out of trades executed by me/us on the stock exchanges through ICICI Securities.  | Signature of the Applicant | Signature of the Co-Holder A | Signature of the Co-Holder B |
| To initiate pledge in favour of ICICI Securities for the limited purpose of meeting the margin obligation of the client in connection with the trades executed/to be executed by the client on the Exchanges through ICICI Securities and to further re-pledge the securities in favour of clearing members (CM) and / or Clearing Corporations (CC) for the limited purpose of meeting the margin obligations. | Signature of the Applicant | Signature of the Co-Holder A | Signature of the Co-Holder B |
| Mutual Fund transactions being executed on Stock Exchange order entry platforms   | Signature of the Applicant | Signature of the Co-Holder A | Signature of the Co-Holder B |
| Tendering shares in open offers through Stock Exchange platforms  | Signature of the Applicant | Signature of the Co-Holder A | Signature of the Co-Holder B |

The aforesaid instructions may be acted upon by ICICI Securities on behalf of me/us or any of the Account Holder(s), and any such act shall be binding upon all the Account Holder(s).

PROVIDED THAT in the event of any merger/demerger of ICICI Securities with any other entity or into another entity, Client shall be given a prior intimation of such corporate restructuring, not less than one month in advance of any such restructuring taking effect, which intimation may be inter-alia given over the phone, through the website of the companies or electronically.

In the event of any overriding instructions of the Depository or any court of law resulting in any unavailability of securities, ICICI Securities shall not be obliged to perform any instructions. I/We have perused the Account Opening Documentation and consent to the provisions thereof. The aforesaid instructions have been given by me/us in consideration of the Account Opening Documentation and the mutual rights, covenants and obligations thereunder.

This DDPI may be revoked by me/us at any time by furnishing a written intimation of cancellation/revocation request (which request shall bear an acknowledgement of receipt by ICICI Securities), however, such revocation shall not affect my/our obligations outstanding at the time such revocation and the instructions/authority granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this DDPI and all outstanding towards ICICI Securities have been settled.

The Schedules described in this DDPI are as under: -

Schedule – A

Demat Accounts of ICICI Securities Limited:

**A] Pledge Account:**  
Client Margin Pledge Account: 1601430105985388, IN30302875397535  
Client Margin Funding Account: 1601430105985392, 1601430105985409, IN30302875397519, IN30302875397527

**B] Pool Account:**  
ICICI Bank Demat account No: IN30018313632245, 1601430100001024, IN300183 13632254, 1601430100001077, 1601430100001062, ICCL Pool 1100001000019779, NCL Pool 1100001100018701 , NSDL CM BP ID: IN620031 , CDSL CM BP ID:996

Schedule – B

Details of Associated Accounts:

| Item No. | Particulars                   | Details |
|----------|-------------------------------|---------|
| 1        | ICICI Demat Account Number(s) |         |
| 2        | Application Number            |         |

|                            |                              |                              |
|----------------------------|------------------------------|------------------------------|
| Signature of the Applicant | Signature of the Co-Holder A | Signature of the Co-Holder B |
| Name of the Applicant      | Name of the Co-Holder A      | Name of the Co-Holder B      |

Name of I-Sec Official / Authorised signatory \_\_\_\_\_

Employee code of I-Sec Official / Authorised signatory \_\_\_\_\_

Signature of I-Sec Official / Authorised signatory \_\_\_\_\_

I-Sec Stamp Place:- \_\_\_\_\_ Date: \_\_\_\_\_