

PART A - APPLICATION FOR DERIVATIVES ENABLEMENT

(For Non Resident Indians)

ICICI Securities Limited

Existing User ID:

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Instructions for filling up the form

- Please fill in your Existing User ID on the top of the form (User ID is case sensitive).
- The name of First Holder should be the same as on his/her passport.
- The following documents verified by the ICICI Bank Employee or Indian Embassy have to be submitted for the First Holder.
 - Valid Passport copy - Should not be expired and the name, date of birth, date and place of issue, expiry date, photography and signature should appear clearly. Old passport copy required if the same has been renewed while opening a 3-in-1 account.
 - PAN card copy (Mandatory for First Holder for Trading in Derivatives). If you do not have a PAN, read instructions on page 2 to obtain
 - PIO card mandatory for overseas citizen of India
 - Proof evidencing financial details
 - Copy of Latest Income Tax Return (ITR) Acknowledgment (Tax return of the country you reside in is accepted)
 - Copy of Latest Annual Accounts (Balance Sheet and Profit & Loss A/c.)
 - Copy of Latest Net worth certificate
 - Latest Salary Slip not earlier than 3 months
 - Copy of Bank account statement for last 6 months
 - Copy of latest Demat account holding statement
 - Copy of Form 16. (Annual Salary Certificate from Employer)
- If the address mentioned on this form is different from that in the existing accounts, then please submit the prescribed form (form can be downloaded From <http://content.icicidirect.com/mailimages/appforms.htm> for Change of Address. To check your current address login to your ICICIdirect.com Account and go to Customer Service > My Account

Note:

- I further confirm having received, read and understood the contents of Policies and Procedures, Risk Disclosure Document, Guidance Note, Rights and Obligation & Rights and Obligation of Beneficial Owner and Depository Participant. I hereby agree to be bound by such provision as outlined in these documents.

Please send the duly filled in application along with the necessary documents to:
ICICI Securities Limited, NRI AOC Desk, C-Wing, 1st Floor, Autumn Estates, Chandivali Farm Road, Chandivali, Andheri (E), Mumbai – 400072

Brokerage Structure

Futures		Options	
Total Eligible Turnover per Month	Brokerage %	Total Eligible Premium Value per month	Flat brokerage per contract lot (₹)
Above ₹ 20 Crores	0.03%	Above ₹ 20 lacs	70
₹ 10 Crores to ₹ 20 Crores	0.035%	₹ 10 lacs to ₹ 20 lacs	75
₹ 5 Crores to ₹ 10 Crores	0.04%	₹ 5 lacs to ₹ 10 lacs	85
Less Than ₹ 5 Crores	0.05%	Less than ₹ 5 lacs	95

- Note :**
- Securities Transaction Tax (STT), Service Tax (ST), Transaction Charges and Stamp Duty charges will be charged additional as per applicable rates and are subject to change from time to time.
 - For the first month, the brokerage rates will be 0.05% for futures and ₹95/- per lot for options respectively.
 - The brokerage rates will be applicable for the next month depending on the turnover done in the current month. E.g. For the month of April, brokerage rate will be dependent on the turnover done in the month of March.

Signature of
the First Holder X1

APPLICATION FOR CP CODE (DERIVATIVES)
(For Non Resident Indians)

This document is mandatory for trading in derivatives as per the norms of National Securities Clearing Corporation Limited

Application Form

To,
ICICI Securities Limited,
Shree Sawan Knowledge Park, Plot No. D-507, T.T.C. IND. Area,
M.I.D.C. Turbhe, Opp. Jui Nagar Railway Station, Navi Mumbai - 400 705.

I wish to appoint you as my/our Clearing Member for clearing and settlement of trades done on futures and options segment of NSE.

I request you to kindly get an NRI client code allotted by NSE / NSCCL for the same.

I undertake that all the trades executed through the above allotted NRI Client code will be on my behalf.

Personal Details		Communication Address	
Name:		Current Address	
First Name:		Flat/Plot No./Name:	
Middle Name:		Road No./Name:	
Last Name:		Area/Locality:	
		City:	State:
Passport No.		Country:	Zip/Post Code:
Place of Issue		Phone No. (Res):	(Off) :
Date of Issue	DD / MM / YYYY	Fax No.	Mobile:
Date of Expiry	DD / MM / YYYY	Indian Address	
PAN		Flat/Plot No./Name:	
Email Address		Road No./Name:	
		Area/Locality:	
		City:	State:
NRE Bank Account No.		Country: INDIA	Zip/Post Code:
NRO Bank Account No.		Phone No. (Res):	(Off) :
Are you registered for trading in derivatives?*		Fax No.	Mobile:
Yes <input type="checkbox"/> No <input type="checkbox"/>			

* If yes, NOC from the existing broker to be submitted

Date		Place	
Name of the First Holder		Signature of the First Holder ^{X2}	

Please submit any one documents as stated in point no. 9 of page 5.

Registered Office: ICICI Securities Ltd., ICICI Centre, H.T. Parekh Marg, Churchgate, Mumbai - 20

For Office Use

Name of the Client _____
Submitted to Exchange on _____
Submitted By _____
Employee Name _____
Employee No. _____
Employee Signature _____