

**Request for Activation of Tier-II account under National Pension System (NPS)
NSDL e-Governance Infrastructure Limited**

To be used by Subscribers having a pre-existing Tier I account under NPS
(To avoid mistake(s), please read the accompanying instructions carefully before filling up the form)

For Nodal Office# use:

Nodal Office Registration No. : _____

Receipt No.:

(Mandatory for POP/POP-SP)

Entered By : _____ Date: _____ Verified By: _____ Date: _____

I hereby submit the following details for activation of Tier – II account under NPS.

Permanent Retirement Account Number (PRAN)*: _____

1. Subscriber's Name*:

_____ (First Name) (Middle Name) (Last Name)

2. Bank Details* (All bank details are mandatory except MICR Code):If same as Tier I, Please Tick (√) else, provide the details below:Savings A/c Current A/c (please refer to Sr. No. j of the instructions)

Bank A/c Number*

Bank Name*

Bank Branch*

Bank Address*

Pin Code* _____ Bank MICR Code _____

IFS code* _____

3. Subscriber's Nomination Details (Please refer to Sr. No. k of the instructions)Do you want to retain the same nomination as in your Tier I account? YES NO

If NO, please fill in the details below (You can nominate up to a maximum of 3 nominees and if you desire so please fill Additional Nomination Form provided on Page 3.)

Nominee's Name: _____ (First Name) (Middle Name) (Last Name)

Relationship with the Nominee: _____ Date of Birth (In Case of Minor): _____ (dd/mm/yyyy)

Nominee's Guardian Details (in case of a minor) : _____ (First Name) (Middle Name) (Last Name)

4. Subscriber Scheme Preference (Please refer to Sr. No. l of the instructions for further details):**(i). Pension Fund (PF) Selection* (Select only one PF): Selection of PFM is mandatory both in Active and Auto Choice. In case you do not indicate a choice of PFM, your application form shall be summarily rejected.*****Name of the Pension Funds are given in alphabetical order.**

PFM Name (Please select only one)	Please Tick (√) only one
Birla Sunlife Pension Management Limited	<input type="checkbox"/>
HDFC Pension Management Company Limited	<input type="checkbox"/>
ICICI Prudential Pension Funds Management Company Limited	<input type="checkbox"/>
Kotak Mahindra Pension Fund Limited	<input type="checkbox"/>
LIC Pension Fund Limited	<input type="checkbox"/>
SBI Pension Funds Private Limited	<input type="checkbox"/>
UTI Retirement Solutions Limited	<input type="checkbox"/>

(ii). Investment Option :[Please Tick (√) in the box given below showing your investment option]

Active Choice Auto Choice

Please note:

1. In case you select Active Choice fill up section (iii) below and if you select Auto Choice fill up section (iv) below.
2. In case you do not indicate any investment option, your funds will be invested in Auto Choice (LC 50).
3. In case you have opted for Auto Choice and fill up section (iii) below relating to Asset Allocation, the Asset Allocation instructions will be ignored and investment will be made as per Auto Choice (LC 50).

(iii). Active Choice Asset Allocation (To be filled up only in case you have selected the ‘Active Choice’ investment option)

Asset Class	E (Cannot exceed 75%)	C (Max up to 100%)	G (Max up to 100%)	Total	Note:1. Asset class E-Equity and related instruments; Asset class C- Corporate debt and related instruments; Asset class G-Government Bonds and related instruments.
Specify %				100%	

Please note:

1. Upto 50 years of age, the maximum permitted Equity Investment is 75% of the total asset allocation.
2. From 51 years and above, maximum permitted Equity Investment will be as per the equity allocation matrix provided in **Annexure A**. The tapering off of equity allocation will be carried out as per the matrix on date of birth.
3. The total allocation across E, C, and G asset classes must be equal to 100%. In case, the allocation is left blank and/or does not equal 100%, the application shall be rejected.

(iv). Auto Choice Option (To be filled up only in case you have selected the ‘Auto Choice’ investment option. In case, you do not indicate a choice of LC, your funds will be invested as per LC 50.)

Life Cycle (LC)Funds	Please Tick (√) Only One	Note:1. LC 75- It is the Life cycle fund where the Cap to Equity investments is 75% of the total asset 2. LC 50- It is the Life cycle fund where the Cap to Equity investments is 50% of the total asset 3. LC 25- It is the Life cycle fund where the Cap to Equity investments is 25% of the total asset
LC75		
LC50		
LC25		

5. PAN (Mandatory)*:

6. Declaration & Authorization

I have read and understood the terms and conditions of the National Pension System and hereby agree to the same and declare that the information and documents furnished by me are true and correct, to the best of my knowledge and belief. I undertake to inform immediately the National Pension System Trust, of any change in the above information furnished by me. I understand that I shall be fully liable for submission of any false or incorrect information or documents.

I further agree to be bound by the terms and conditions of provision of services by CRA, from time to time and any amendment thereof as approved by PFRDA, whether complete or partial without any new declaration being furnished by me.

Declaration under the Prevention of Money Laundering Act, 2002

I hereby declare that the contribution paid by me/on my behalf has been derived from legally declared and assessed sources of income. I understand that NPS Trust has the right to peruse my financial profile or share the information, with other government authorities. I further agree that NPS Trust has the right to close my PRAN in case I am found violating the provisions of any law relating to prevention of money laundering.

CRA generated Acknowledgement Number (to be filled by Nodal Office): _____

I _____, the applicant, do hereby declare that the information provided above is true to the best of my knowledge & belief. Date : <input type="text"/> (DD/MM/YYYY)	
	Signature/Thumb Impression* of Subscriber

TO BE FILLED/ATTESTED BY DDO (Mandatory if the request is processed by the associated Nodal Office) Rubber Stamp of the DDO	
	Signature of the DDO Name : _____ Designation: _____

To be filled by Nodal Office (PAO/DTO/DTA/POP/POP-SP) Nodal Office Seal	<input type="text"/>
	Signature of Authorized Signatory Name : _____ Place : _____ Designation : _____ Date : _____

ADDITIONAL NOMINATION FORM

The details of nominees to whom the outstanding pension wealth of the Subscriber is payable in case of the demise of the Subscriber before entire proceeds are withdrawn is to be provided hereunder (Please refer instruction no: k).

I, _____ hereby nominate the person(s) mentioned below who is/are member(s)/ of my family to receive the amount in my PRAN account under National Pension System in the event of my death.

1. Name of the Nominee*:

1st Nominee	2nd Nominee	3rd Nominee
First Name _____	First Name _____	First Name _____
Middle Name _____	Middle Name _____	Middle Name _____
Last Name _____	Last Name _____	Last Name _____
_____	_____	_____

2. Present Communication address of the Nominees*:

Address of 1st Nominee	Address of 2nd Nominee	Address of 3rd nominee
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Date of Birth* (Only in case of a minor. In DD/MM/YYYY):

1st Nominee: ___/___/_____	2nd Nominee: ___/___/_____	3rd Nominee: ___/___/_____
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4. Relationship with the Nominee*:

1st Nominee	2nd Nominee	3rd Nominee
_____	_____	_____

5. Percentage Share*:

1st Nominee _____%	2nd Nominee _____%	3rd Nominee _____%
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6. Nominee's Guardian Details (Only in case of a minor):

1st Nominee's Guardian Details	2nd Nominee's Guardian Details	3rd Nominee's Guardian Details
First Name _____	First Name _____	First Name _____
Middle Name _____	Middle Name _____	Middle Name _____
Last Name _____	Last Name _____	Last Name _____
_____	_____	_____

Signature/ Thumb Impression* of the Subscriber

Dated this _____ day of _____ 20 at _____

Acknowledgement to the Subscriber

(To be filled by Nodal Office)

Tier II Activation:Nodal Office Registration Number: _____ PRAN:

Name of the Subscriber: _____ Date: __/__/____ Time Stamp: _____

Receipt Number
(Mandatory for POP/POP-SP)1. Transaction Charges: Rs. 2. Tax as applicable: Rs. 3. Total Charges: Rs.

Signature/Stamp of Nodal Office/Place**INSTRUCTIONS FOR FILLING THE FORM**

- a) The form is to be filled by the Subscribers having a PRAN card and Tier 1 account under NPS.
- b) Form to be filled legibly in BLOCK LETTERS and in BLACK INK only. Please fill the form in legible handwriting so as to avoid errors in your application processing. Please do not overwrite. Corrections should be made by cancelling and re-writing and such corrections should be counter-signed by the applicant.
- c) Each box, wherever provided, should contain only one character (alphabet/number/punctuation mark) leaving a blank box after each word. The details marked with (*) are mandatory.
- d) **The Subscriber shall provide copy of PRAN card alongwith the application form.**
- e) Applications incomplete in any respect and/or not accompanied by required documents are liable to be rejected. The application is liable to be rejected if mandatory fields are left blank.
- f) Nodal Office# refers to PAO/DTO/DTA/POP/POP-SP.
- g) Government employees (mandatorily covered under NPS) may submit their application to their associated Nodal Office or to any POP-SP of their choice. The list of POP-SPs rendering services under NPS is available on CRA website www.npscra.nsdl.co.in.
- h) Any Indian citizen (other than government employee mandatorily covered under NPS) may submit the application only to the POP-SP through which they have registered with CRA for Tier 1 account.
- i) Subscribers are advised to retain the acknowledgement slip signed/ stamped by the Nodal Office where they submit the application.
- j) **Bank Details :**
- a. Bank details are mandatory for Tier-II else, account will not be activated. In case bank details are same as Tier I account, tick mark the corresponding option.
- b. Subscriber shall provide **cancelled cheque**, the details of which should match with the details provided under Point No.2 on Page 1. Even if the bank details are same as in Tier I, the Subscriber should provide a 'cancelled cheque'.
- k) **Subscriber's Nomination Details**

Percentage Share	1) Subscriber can nominate a maximum of three nominees. 2) Subscriber cannot fill the same nominee details more than once. 3) Percentage share value for all the nominees must be integer. Decimals/Fractional values shall not be accepted in the nomination(s). 4) Sum of percentage share across all the nominees must be equal to 100. If sum of percentage is not equal to 100, entire nomination will be rejected.
Nominee's Guardian Details	If a nominee is a minor, then nominee's guardian details shall be mandatory.
The nomination exercised for Tier I shall not be automatically applicable to Tier II. A Subscriber to Tier II is required to make a fresh nomination. In case, you want to retain the same nomination as in Tier I, please select "YES" by putting tick mark in the box.	

l) **Subscriber Scheme Preference**

<p>Selection of PFM is mandatory both in Active and Auto Choice.</p> <p>Active choice</p> <ol style="list-style-type: none"> PFM selection is mandatory. Allocation under Equity (E) cannot exceed 75% A Subscriber opting for active choice may select the available asset classes ("E", "C", & "G"). However, the sum of percentage allocation across all the selected asset classes must equal 100. If the sum of percentage allocations is not equal to 100%, or the asset allocation table at Point No. 4 (iii) is left blank, the application shall be rejected. <p>Auto choice</p> <ol style="list-style-type: none"> A Subscriber opting for Auto Choice must also select a PFM. In case both investment option and the asset allocation at Point No. 4 (ii) and Point No. 4 (iii) are left blank, the Subscriber's funds will be invested as per Auto Choice. <p>For more details on 'Investment Option', you may visit CRA website (www.npscra.nsdl.co.in).</p>

GENERAL INFORMATION FOR SUBSCRIBERS

- The Subscriber can obtain the status of his/her application from the CRA website or through the respective Nodal Office.
- For more information
Visit us at <http://www.npscra.nsdl.co.in>
Call us at 022-4090 4242
Write to: Central Recordkeeping Agency, NSDL e-Governance Infrastructure Limited, 1st Floor, Times Tower, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.

Equity Allocation Matrix for Active Choice

Age (years)	Max. Equity Allocation
Upto 50	75%
51	72.50%
52	70%
53	67.50%
54	65%
55	62.50%
56	60%
57	57.50%
58	55%
59	52.50%
60 & above	50%

Please note:

1. Upto 50 years of age, the maximum permitted Equity Investment is 75% of the total asset allocation.
2. From 51 years and above, maximum permitted Equity Investment will be as per the equity allocation matrix provided above. The tapering off of equity allocation will be carried out as per the matrix on date of birth.