

FATCA-CRS Declaration & Supplementary KYC Information
(Declaration Form for Individuals)

1. PAN No: _____.
2. Trading Account No: _____.
3. Name: _____.
4. Gross Annual Income: < 1 Lac < 1-5 Lac <5-10 Lac < 10-25 Lac > 25 Lac
OR
Net Worth in INR _____ Net worth As On _____.
5. Occupation Private Sector Service Public Sector Government Service Business
 Professional Retired housewife Student Self employed Not Categorized.
6. Politically Exposed Person (PEP): Yes Related to PEP Not Applicable
7. Are you a US Person Yes No
8. Country of Birth: India U.S Other _____
9. Citizenship: India U.S Other _____
10. Place (City/Town) of Birth (if other than India) : _____
11. Primary Source of Wealth (choose any one from following)
 Salary Business Gift Ancestral Property
 Rental Income Prize Money Royalty Others
12. Whether Tax Resident in any country other than India: Yes No

13. If for any of the above fields, the country mentioned by you is not India or if your US person status is "Yes" or you are an NRI or Foreign National, then please provide following details:

Country of Residence for Tax Purpose (other than India) / TIN issuing country	Tax Identification No. (TIN) or functional equivalent (FE) of TIN	Type of No.	Please specify FE Type/ Name (Not applicable if TIN provided)
		<input type="checkbox"/> TIN <input type="checkbox"/> FE	
		<input type="checkbox"/> TIN <input type="checkbox"/> FE	

14. Address in the foreign country of which you are a tax resident (Other than India):

City/Town/Village _____ State _____
Pin Code _____ Country _____

15. If you satisfy the criteria as mentioned in point 7 above but do not have TIN / FE, please fill the following details:

Country Name	No. other than TIN / FE	Type of No.
		<input type="checkbox"/> VISA <input type="checkbox"/> Resident no. <input type="checkbox"/> Work permit no. <input type="checkbox"/> Dependent VISA <input type="checkbox"/> Student VISA <input type="checkbox"/> Seafarer status <input type="checkbox"/> Going to the country of residence for first time*

Declaration:

(I) Under penalty of perjury, I certify that:

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ('U.S.') or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. **(This clause is applicable only if the account holder is identified as a US person)** or

2. The applicant is taxable as a tax resident under the laws of country outside India. **(This clause is applicable only if the account holder is a tax resident outside of India)**

(II) I hereby declare that the details furnished above are true, correct and complete to the best of my knowledge and belief including my/our taxpayer identification number. In case of any change in the above given status on a future date, I undertake to inform I - Sec the same within 30 days.

(III) I agree that if I am a U.S. Person or tax resident of a reportable foreign jurisdiction (other than U.S.) requiring reporting under FATCA/CRS or any other laws, my account details, as required under Inter Governmental Agreement (IGA)/ Multilateral Competent Authority Agreement (MCAA) signed by Indian Government, would be reported by I-Sec to the relevant tax authority.

(IV) I hereby confirm that details as provided by me above can be shared by I-Sec with the concerned Asset Management Companies (AMCs) or such other product providers, to whom FATCA/CRS norms are applicable, in whose schemes/ products I may invest/transact in future through I-Sec.

Following clause applicable only if any of the indicia parameters is outside India and TIN/ FE is not available since not resident for tax purpose outside India OR Country of Birth is US and US person is mentioned as "No" in point no.1 :

I hereby confirm that I am not a US Person or a resident for tax purpose in any country other than India, though my Country of Birth suggests my relation with US or one or more parameters suggest my relation with the country outside India. I am providing / already provided copy of my PAN card to I-Sec and now providing a certificate of relinquishment of US citizenship (loss of nationality) OR reasons for not having such a certificate despite relinquishing US citizenship OR for not obtaining US citizenship at birth (only if born in US)

Please specify reason

Please select any one of the below option- I am signing this document as,

First Holder Bank Joint Holder DP Co Holder MF Joint Holder

Name: _____ Signature:

Date:

Please note each joint holder for Mutual Fund has to submit the above declaration separately & same shall be attached with the form

*TIN or Functional Equivalent number to be communicated to ICICI Bank within 90 days, else Bank account may get closed.

MF transactions will be permitted to clients/ joint holders in new folios after reviewing this declaration and existing KYC data and only if permitted by AMCs.

Who is a US person ?

In case of individuals, U.S. Person means a citizen or resident of the United States. Persons who would qualify as US Persons could be Born in the United States, Born outside the United States of a US parent, Naturalized citizens, Green Card Holders, Tax residents.

Who is a Reportable Person (non US) under Common Reporting Standards (CRS)?

Under Common Reporting Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S.

[Please note that above information is provided only for quick reference to customers. You are requested to consult a legal/tax advisor if in doubt.]

Documents to be collected if Customer's Country of Birth is US but declares that he/she is not a US Person

- Certificate of relinquishment of citizenship (loss of nationality certificate); OR
- Self certification for stating reasons for not having such a certificate despite relinquishing US citizenship; OR
- Self certification for stating reasons for not obtaining US citizenship at birth.

What is a Functional Equivalent of TIN?

Many countries do not issue TIN to their Tax payers. However, such countries issue some other high integrity number which an equivalent level of identification. For Example:

- Social Security Number
- National Insurance Number
- Citizen or Personal Identification code or Number
- Resident Registration Number etc.

Providing TIN or a functional equivalent is mandatory for NRIs and foreign nationals and tax residents of foreign countries. In case client does not have TIN or functional equivalent number, only than VISA number can be provided as equivalent number.