

# E-invest AOF Part B NSDL

**KYC CERTIFICATION DETAILS (FOR OFFICE USE ONLY)**

UCC Code allotted to the Client: \_\_\_\_\_ CKYC No. \_\_\_\_\_

Particulars	KYC documents verified with original, client interviewed by and inperson verification done by								
Name of the Employee									
Employee Code									
Designation of the employee									
Date	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
Place of verification	<input type="radio"/> Residence <input type="radio"/> Office <input type="radio"/> Others (Please specify) _____								

I/We undertake that we have made the client aware of 'Policy and procedures', tariff sheet and all the non mandatory documents. I/we have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/we have given / sent him a copy of all the KYC documents. I/we undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/we also undertake that any change in the 'Rights and Obligations; and RDD would be made available on My/our website, if any, for the information of the clients. I have met above customer in person at above mentioned place and confirm that the form has been signed by the applicant in my presence.

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**Signature of Employee**

(The person who is doing KYC &amp; IPV)

**Seal of ICICI Bank / ICICI Securities Ltd**

This document/Agreement is voluntary, however, the same is required to be executed by the client in order to avail of seamless trading platform with integrated bank account, demat account and broking account. If you do not wish to use the services of ICICI Bank Limited and ICICI Securities Limited, you need not execute such documents.

### POWER OF ATTORNEY

THIS POWER OF ATTORNEY made on the date and place as set out in item number 1 and 2 in the schedule C below by the persons as set out in item number 3 in the schedule C below (Hereinafter referred to as **"Client"**)  
IN FAVOUR OF

**ICICI Securities Limited**, a company incorporated under the Companies Act, 1956, and having its registered office at ICICI Centre, H.T. Parekh Marg, Churchgate, Mumbai- 400 020 and Corporate Office at Shree Sawan Knowledge Park Plot No D 507, TTC Industrial Estate, MIDC, Turbhe, Navi Mumbai – 400705., (hereinafter referred to as **"ICICI Securities"**, which expression shall, unless repugnant to the meaning or context thereof be deemed to mean and include the amalgamated/ demerged/transferee Company formed out of corporate restructuring, if any, undertaken in compliance with Section 232 of the Companies Act, 2013 and duly approved by the relevant High Court).

#### Background

The Client is desirous of investing and/ or trading in securities, making investments and entering into various transactions as mentioned in Schedule A (hereinafter, for the sake of convenience, collectively referred to as **"Purpose"**) with or through ICICI Securities and for this Purpose the Client has signed/agreed the account opening form together with other documents including the Mandatory Rights and Obligations prescribed by SEBI/Stock Exchange, Risk Disclosure Document, Policy & Procedures, Guidance Note, Letter of Confirmation by the Client in favour of ICICI Securities and such other documents provided to the Client from time to time and/or published on the website of ICICI Securities (hereinafter collectively referred to as the **"Account Opening Documentation"**).

Pursuant to the provisions of the Account Opening Documentation, the Client [hereinafter referred to as the **"Account Holder"**] either has (i) existing Bank account and/or demat account (mentioned/referred in application bearing reference number as set out in item number 3 in the schedule D below) or will open (ii) new Bank account and/or new demat account (to be opened with application bearing reference number as set out in item number 3 in the schedule D below), with ICICI Bank Limited (**"Bank"**). All such Bank and demat accounts (either existing or newly opened) are detailed in item number 1 and 2 of the schedule D below and described as the **"Associated Accounts"** for the purpose of Account Opening Documentation.

Under the terms of the Account Opening Documentation, the Account Holder has agreed to execute and deliver a power of attorney to ICICI Securities and instructions to Bank and ICICI Securities in respect of the Associated Accounts of which he/she is the holder. The Account Holder (I) hereby authorise ICICI Securities to annex the Associated Accounts to this power of attorney.

I hereby request ICICI Securities/Bank to give effect to this power of attorney only after execution of this power of attorney by ICICI Securities, who shall be the last party executing this power of attorney (**"Execution Date"**), and not before Execution Date.

I acknowledge and agree that ICICI Securities may at its sole discretion decide to suspend the Associated Accounts, close my trading account and solely reserves the right to suspend/ cancel services / facilities being granted to me by virtue of the Account Opening Documentation and may at its discretion decide to cancel and revoke this power of attorney granted and executed by me for ease of trading at any time it deems fit and proper by providing such intimation on registered e-mail id or any other electronic form.

Under the terms of the Account Opening Documentation, the Account Holder has agreed to execute and deliver a power of attorney to ICICI Securities and Bank in respect of the Associated Accounts of which he/she is the holder, which the Account Holder hereby does as under: -

#### POWER OF ATTORNEY

I, the Account Holder, do hereby nominate, constitute and appoint ICICI Securities, acting through any of its officers or employees or any sub-agents or delegates, to be my true and lawful agents and attorney for me and on my behalf and in my name from time to time, to do and exercise or cause to be done and exercised all or any of the following acts, powers and authorities:

- (i) To operate Associated Accounts and accept terms and conditions for operating the Associated Accounts;
- (ii) To block, hold and/or create / mark a lien, pledge, charge or hypothecation on one or more of the Associated Accounts or all or any of the money or securities therein including in the internal systems of the Bank or the systems of the Depository, as ICICI Securities may deem fit and thereafter without any further instructions (this writing constituting my instructions to do so) to transfer all the said money and/ or the securities to ICICI Securities or any account(s) of ICICI Securities specified in the schedule B hereto or to such other accounts as may be specified on the website of ICICI Securities and by

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electronic mail or otherwise;

- (iii) To transfer and debit/credit any securities or money from any Associated Account(s) to ICICI Securities or any account(s) of ICICI Securities specified in the schedule B hereto or to such other accounts as may be specified on the website of ICICI Securities and by electronic mail or otherwise for the following purposes:
  - a. towards stock exchanges related margin/delivery and/or settlement obligations arising out of trades executed by me on the stock exchanges through ICICI Securities;
  - b. for recovering any outstanding amount due from me arising out of my trading activities on the stock exchanges through ICICI Securities; Provided, that any funds or securities that have been erroneously transferred to ICICI Securities, or that ICICI Securities were not entitled to receive, shall be re-transferred/returned to the Associated accounts from which they were transferred.
  - c. for meeting obligations arising out of my subscription to, securities (whether in my name or otherwise) of all kinds, whether listed or unlisted or such other products / facilities / services as mentioned in Schedule A offered and/or distributed by ICICI Securities, from time to time or in connection with any transaction effected or entered into or proposed to be effected or entered into by ICICI Securities pursuant to the said Account Opening Documentation;
- (iv) To sign, execute, deliver and/or acknowledge all instructions, forms, transfer deeds, depository forms and instruments, declarations, cheques, drafts, slips, receipts and other instruments and writings which are necessary or advisable for performing all or any of the powers or authorities hereby conferred;
- (v) To send, in addition to any other document required to be disseminated by ICICI Securities as specified by SEBI or any other regulator from time to time, consolidated summary of my scrip-wise buy and sell positions taken with average rates to me by way of SMS / email on a daily basis;
- (vi) To apply for, subscribe to, purchase, redeem and/or sell in any other manner acquire or dispose of securities (whether in the name of the Client or otherwise) of all kinds, whether listed or unlisted or such other products/facilities/services as mentioned in Schedule A, offered and/or distributed ICICI Securities.
- (vii) To receive and hold certificates, title documents, deposit receipts, account statements and other documents and writings pertaining to the securities mentioned above and/or any amount invested, advanced or expended by me or on my behalf and to acknowledge receipt of the same;
- (viii) To sign and endorse all such application forms, transfer deeds, deposit receipts, redemption requests, depository forms, negotiable and other instruments, contracts and other writings and do all such acts as may be required for all or any of the above purposes or otherwise in connection with any transaction effected or entered into or proposed to be effected or entered into by ICICI Securities pursuant to the said Account Opening Documentation.
- (ix) To collect, receive and give effectual receipts and discharges, for any sums payable/ receivable on securities or other documents or items deliverable to the Account Holder and to endorse such dividend and interest warrants;
- (x) In the event of any change in the number, nature or securities and/or the ISIN number of the securities (during any period in which any securities are blocked pursuant to the aforesaid power of attorney) as a consequence of any corporate action of the concerned company implemented by the Depository, ICICI Securities shall be entitled to accordingly modify the block created to accommodate the changes in ISIN number, the number/nature/quantity of securities maintaining the block at the same percentage of the share capital of the company concerned as originally blocked;
- (xi) To debit/credit the Associated Account(s) towards monies/securities/fees/charges etc. payable to ICICI Securities or Bank or to a product / service provider or to any of the affiliates/ subsidiaries of ICICI Securities by virtue of I am using/ subscribing to any of the facilities/ services provided either by ICICI Securities or Bank or through a concerned product / service provider or by any of the affiliates/ subsidiaries of ICICI Securities;
- (xii) To make applications for such credit facilities on a referral basis /investment/financial products including but not limited to, insurance policies, mutual funds as may be instructed to be applied for me in accordance with terms specified in Account Opening Documentation and to do all things necessary for and incidental to availing investment/ financial products by me including but not limited to pledging of scrips, stocks, securities, bonds, debentures, mutual fund units, units of any collective investment scheme or any other security or financial instrument on behalf of the me through ICICI Securities or any product / service provider;
- (xiii) To authorize ICICI Securities to receive my Bank / demat transaction statements and other account related information, either in a physical copy format or a format which can be uploaded in system, from time to time;
- (xiv) To have the first and paramount lien on the Associated Account(s) as may be instructed by me and to exercise such lien in the event of any loss suffered/costs incurred on account of any transaction done / executed by ICICI Securities instructions or on my behalf;

⑨ \_\_\_\_\_  
Signature of 1st applicant      Signature of 2nd Holder      Signature of 3rd Holder      Signature of Co-Holder A      Signature of Co-Holder B

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- (xv) To do all such acts, deeds and things as may be necessary for or incidental to provision of services and facilities to me, including giving intimations, confirmation, undertaking etc. on my behalf;
- (xvi) To retain all originals of documents executed by me;
- (xvii) AND GENERALLY to do or cause to be done every other acts, deeds, matter or thing as ICICI Securities may deem necessary or expedient for the purpose of or in relation to these presents.

PROVIDED THAT ICICI Securities shall exercise the powers and authorities conferred under the above power of attorney only pursuant to instructions in that behalf given by the Client, which instructions may be given orally, over the telephone, through the internet, through a kiosk, electronically or in any other manner acceptable to ICICI Securities and such instructions given to ICICI Securities shall be admissible in evidence and shall not be questioned by me and shall be conclusive and binding against me. AND PROVIDED FURTHER THAT the aforesaid powers and authorities may be exercised by ICICI Securities on behalf of the Client, and any such exercise shall be binding upon the Account Holder. AND I the Account Holder do authorize ICICI Securities to, from time to time and without requiring my further instructions or consent (this writing constituting my consent):

i. appoint any sub-agent(s) and/or delegate all or any of the powers granted under the above power of attorney to one or more persons or entities of ICICI Securities 's choice on such terms as ICICI Securities may think fit, and

ii. To remove such sub-agents and withdraw all or any of such delegated powers. PROVIDED THAT in the event of any merger/demerger of ICICI Securities with any other entity or into another entity, the Client shall be given a prior intimation of such corporate restructuring, not less than one month in advance of any such restructuring taking effect, which intimation may be inter-alia given over the phone, through the website of the companies or electronically.

In the event of any overriding instructions of the Depository or any court of law resulting in any unavailability of securities, ICICI Securities shall not be obliged to perform any instructions. I have perused the Account Opening Documentation and consent to the provisions thereof. The aforesaid power of attorney has been granted, the instructions herein have been given and the agreements herein contained have been agreed to by me in consideration of the Account Opening Documentation and the mutual rights, covenants and obligations thereunder.

This Power of Attorney may be revoked by the Client at any time by furnishing a written intimation of cancellation/revocation request (which request shall bear an acknowledgment of receipt by ICICI | Securities), however, such revocation shall not affect the obligations of the Client outstanding at the time such revocation and the authority granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this Power of Attorney and all outstanding towards ICICI Securities have been settled.

The Schedules described in this POA are as under: -

### **Schedule – A**

#### **Transactions which can be done using Power of Attorney**

Transactions for purchase, sale (including margin trading), investments in shares and securities, scrips, stocks, bonds, debentures, mutual fund units, units of any registered collective investment scheme or any other security or financial instrument, derivatives, including but not limited to equity derivatives, currency derivatives, interest rate derivatives, forwards, futures, commodity futures, commodity options, other commodity products (as may be introduced from time to time), REITS, INVITS, swaps and options, subscription to Public Provident Fund, National Pension System / Scheme and other savings schemes of Government of India or other undertakings, government securities, negotiable instruments, certificate of deposit, participation certificates, commercial paper, bank deposits, fixed deposits, money market instruments, transactions for payment to allowable billing companies, Fixed Deposits, Life Insurance, General Insurance Health Insurance or order for purchase or sale of or application for any offer or public issue of shares, and to sell securities in my behalf in any buy back or open offers made by the issuers or acquirers of such securities or to refer my name as per my instructions for availing of credit facilities from banks and finance companies or for making investments. The term Securities shall include Securities as defined by the Securities Contracts (Regulation) Act, 1956 as amended from time to time

### **Schedule B**

#### **Accounts of ICICI Securities**

##### **A. Bank Accounts:-**

ICICI Bank Limited Account Number 000405072995, 000405116455, 000405072693, 000405009257, 000405120531, 000405117015, 000405005406, 000405000256, 000405010710, 000405010710, 000405100961, 000405111850, 000405117019, 000405008178, 000405010655, 000405117018, 000405117017, 000405070671, 000405072227, 000405005970, 000405116456, 000405120529, 000405072670, 000405110913, 000405034126, 000405070810, 000405103343, 000405106707, 000405110635, 000405009258, 000405114722, 000405110876, 000405036165, 000405100960, 000405101438, 000405074806, 000405070670, 000405010656, 000405117020, Standard Chartered Account Number 22205271851, The Hongkong and Shanghai Banking Corporation Account Number 19391911001, Kotak Mahindra Bank Account Number 5811824739, Axis Bank Ltd Account Number 4010202264268, HDFC Bank Ltd Account Number 10340000284 405071200, , IDFC Bank Ltd Account Number 10017201536, CITIBANK Account Number 4843037

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b) Settlement Bank Account

ICICI Bank Limited Account No: 000405009257, 000405009258, 000405010710, 000405010655, 000405070671, 000405110913, 000405117018

**B. Demat Account**

a) Client Beneficiary demat

ICICI Bank Demat account No: IN30302874450527, IN30302874450535, In30302874450543,

b) Pool Account

ICICI Bank Demat account No: IN30018313632245, 1601430100001024, IN300183 13632254, 1601430100001077, 1601430100001062, ICCL Pool 1100001000019779, NSCCL Pool 1100001000018701

c) Client unpaid Securities Account

ICICI Bank Demat account No : IN30018313632266, 1601430105768573, IN30018313632274, 1601430105774984

**Schedule –C**

Item No.	Particulars	Details
1	Date	
2	Place	
3	Name of the 1st Applicant	
4	Name of the 2nd Holder	
5	Name of the 3rd Holder	
6	Name of the Co-Holder A	
7	Name of the Co-Holder B	

**Schedule – D**

**Details Associated Accounts**

Item No.	Particulars	Details
1	ICICI Bank Account Number	
2	ICICI Demat Account Number(s)	
3	Application Number	

This Power of Attorney shall be deemed to have been executed when it is accepted and executed by the ICICI Securities, who shall be the last party executing this power of attorney.

				
② _____ Signature of 1st applicant	_____ Signature of 2nd Holder	_____ Signature of 3rd Holder	_____ Signature of Co-Holder A	_____ Signature of Co-Holder B

Name of I-Sec Official / Authorised signatory _____
Employee code of I-Sec Official / Authorised signatory _____
Signature of I-Sec Official / Authorised signatory _____

I-Sec Stamp

Place:- \_\_\_\_\_

Date: \_\_\_\_\_

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