

## **BROKING**

ICICI Securities Ltd. (I-Sec). Registered office of I-Sec is at ICICI Securities Ltd. - ICICI Venture House, Appasaheb Marathe Marg, Prabhadevi, Mumbai - 400 025, India, Tel No : 022 - 6807 7100. I-Sec is a Member of National Stock Exchange of India Ltd (Member Code: 07730), BSE Ltd (Member Code: 103) and Member of Multi Commodity Exchange of India Ltd.(Member Code: 56250) and having SEBI registration no. INZ000183631. Name of the Compliance officer (broking): Ms. Mamta Shetty, Contact number: 022-40701022, E-mail address: complianceofficer@icicisecurities.com. Investments in securities market are subject to market risks, read all the related documents carefully before investing. The contents herein above shall not be considered as an invitation or persuasion to trade or invest. I-Sec and affiliates accept no liabilities for any loss or damage of any kind arising out of any actions taken in reliance thereon.

Please note Brokerage would not exceed the SEBI prescribed limit.

Any referral scheme related disputes/grievances will not be covered under investor protection or grievance redressal measures of the Exchange.

I-Sec is a Member of Multi Commodity Exchange of India Ltd (Member Code:56250)

I-Sec is a SEBI registered with SEBI as a Research Analyst vide registration no. INH000000990.

I-Sec is a SEBI registered with Investment Advisor(IA) vide SEBI Registration Number INA000000094.

I-Sec is registered with SEBI as a Portfolio Manager vide registration number INP000004060.

I-Sec is registered with SEBI as a Merchant Banker vide registration number MB/INM000011179.

Baskets are not Exchange approved products and any disputes related to the same will not be dealt on the Exchange platform.

Margin Trading is offered as subject to the provisions of SEBI Circular CIR/MRD/DP/54/2017 dated June 13, 2017 and the terms and conditions mentioned in rights and obligations statement issued by I-Sec.

Features such as Trading View Charts, Streak etc., are not an approved product of exchange and being provided by third party. Any complaint / dispute pertaining to the same would not have access to Exchange investor redressal or Arbitration mechanism.

Features such as Advanced Charts, F&O Insights @ Fingertips, Payoff Analyzer, Basket Order, Cloud Order, Option Express, e-ATM, Systematic Equity Plan (SEP), i-Track, i-Lens, Price Improvement Order Flash Trade, Strategy Builder etc., are offered by ICICI Securities. Any complaint / dispute pertaining to the same would not have access to Exchange investor redressal or Arbitration mechanism.

For non-ICICI Bank clients, the payout time may vary.

The securities quoted are exemplary and are not recommendatory.

Account would be opened after all procedure relating to IPV and client due diligence is completed.

Such representations are not indicative of future results.

ICICI Securities is not making the offer, holds no warranty & is not representative of the delivery service, suitability, merchantability, availability or quality of the offer and/or products/services under the offer. Any disputes regarding delivery, services, suitability, merchantability, availability or quality of the offer and / or products / services under the offer must be addressed in writing, by the customer directly to respective merchants and ICICI Securities will not entertain any communications in this regard.

Please note that for 3-in-1 Account, Trading Account will be opened with ICICI Securities Limited and Demat & Saving Accounts will be opened with ICICI Bank Limited and for 2-in-1 Account, Trading Account will be opened with ICICI Securities Limited and Demat Account will be opened with ICICI Bank Limited.

API facilities are offered to the clients as per the terms and condition to be agreed by the client.

*ICICI direct Markets App is offered by ICICI Securities.*

ICICIdirect Mobile App is offered by ICICI Securities.

Certain products and features are restricted for NRI Customers based on local laws applicable to select jurisdictions.

*ESOP Funding is offered by ICICI Securities. Any complaint / dispute pertaining to the same would not be entertained by Stock Exchanges.*

Features as provided by 5nance etc., are not an approved product of exchange and being provided by third party. Any complaint / dispute pertaining to the same would not have access to Exchange investor redressal or Arbitration mechanism. Advisory Services on 5nance.com is provided by Innovage Investment Advisers Private Limited, a SEBI registered Investment Adviser having registration no. INA 000003809

ICICI Securities Ltd. ( I-Sec). Registered office of I-Sec is at ICICI Securities Ltd. - ICICI Venture House, Appasaheb Marathe Marg, Prabhadevi, Mumbai - 400 025, India, Tel No : 022 - 6807 7100. AlfAccurate Advisors Pvt Ltd is a SEBI registered Investment Advisor vide SEBI Registration Number INA000015701. Carnelian Asset Advisors Private Ltd is a SEBI registered Investment Advisor vide SEBI Registration Number INA000015792. Abakkus Asset Manager LLP is a SEBI registered Investment Advisor vide SEBI Registration Number INA000015729. Renaissance Investment Managers Private Limited is a SEBI registered

Investment Advisor vide SEBI Registration Number INA000014827. I-Sec is a distributor of Investment Advisory products. The non-broking products / services like Mutual Funds, Insurance, FD/ Bonds, loans, PMS, Tax, Elocker, NPS, IPO, Research, Financial Learning, Investment Advisory etc. are not exchange traded products / services and ICICI Securities Ltd. is just acting as a distributor/ referral Agent of such products / services and all disputes with respect to the distribution activity would not have access to Exchange investor redressal or Arbitration mechanism. The contents herein above shall not be considered as an invitation or persuasion to trade or invest. I-Sec and affiliates accept no liabilities for any loss or damage of any kind arising out of any actions taken in reliance thereon. Investments in securities market are subject to market risks, read all the related documents carefully before investing.

e-ATM which stands for Equity ATM is a facility offered by ICICIdirect (ICICI Securities Ltd) wherein customer would receive credit of funds within 30 minutes (as against the current payout cycle of T+2) from the time of execution of sell order in cash segment. Such eATM order shall be permitted upto Rs. 50,000/- per customer per day for eligible securities. Please note that intervals at which payout of funds will be made and the maximum amount per customer that will be paid during the day will be at sole discretion of ICICI Securities Ltd.

"Terms and Conditions of ICICI Bank and third parties apply. ICICI Bank is not responsible for third party products, goods, services and offers. Nothing contained herein shall constitute or be deemed to constitute an advice, offer, invitation or solicitation to sell/ purchase any products/ services of ICICI Bank or any third party and is not intended to create any rights and obligations. The use of any information set out in this document is entirely at the customer's own risk."

Free brokerage upto ₹ 25,000 shall be offered to the client who shall open M52 account along with subscription to Prime plan. Free brokerage shall be offered depending on Margin Money provided by the Customer. Please refer below slab for offering free brokerage.

Margin Money	M 52 account	M 52+Prime subscription
1 Lacs - 4.99 Lacs	₹ 4,000/-	₹ 6,000/-
5.00 Lacs - 9.99 Lacs	₹ 6,500/-	₹ 8,500/-
10 Lacs - 24.99 Lacs	₹ 14,000/-	₹ 16,000/-
25 Lacs or above	₹ 20,000	₹ 25,000/-

There is no restriction on withdrawal of unutilized margin amount.

#### Terms and conditions of ICICIdirect Prime Annual Membership plan

1. This Prime membership plan is available only to Resident individuals, non-individuals & HUFs.
2. Prime Plan validity will depend on the plan that you have selected.
3. Please note scheme validity for all prime schemes will be 1 year.
4. GST @ 18% would be applicable on the fee of Prime plan.

5. ₹ 20/- plus GST would be charged towards demat charges for each debit instruction from your demat account for your sale obligations.
6. Brokerage on intraday trades in Futures and Options will be the same for both legs and will be charged as per the Prime plan selected.
7. Prime Plan details:

Prime Plan	Total amount with GST	Cash%	Margin %	Future %	Options (Per Lot) ( )	Currency Futures % /Interest Rate Futures	Currency Options (per lot) ( )
900	1,062	0.25	0.025	0.025	35	0	0
4,500	5,310	0.18	0.018	0.018	25	0	0
9,500	11,210	0.15	0.15	0.015	20	0	0

8. Penny stock, bonds and NCDs brokerage will be same as cash brokerage rate in Prime plan.
9. You will have access to exclusive research content provided under Prime Plan
- 10 Statutory charges would be levied on all trades as per applicable rates. You can also view these charges on our website [www.icicidirect.com](http://www.icicidirect.com) under Home > Pricing Section.
- 11 If you have selected I-gain plan while you are active in ICICIdirect Prime, profit trades in Future Plus Stop Loss and OptionPlus will be charged as per rates applicable in the Prime plan selected .
- 12 If you have subscribed for Options195 plan, then brokerage in Options and Option Plus will be charged as per Options195 plan.
- 13 Please note the subscription fee paid is non-refundable after free look period. Kindly note, Free look period is for 15 days.
- 14 If you have availed free look period once in Prime plan, then it will not be available for any of your subsequent subscriptions.
- 15 Your Prime plan will be auto renewed by default. You may choose to opt out of auto renewal.
- 16 Cash Brokerage shall be levied for Orders placed in Offer for Sale and Buyback apart from all other statutory charges.
- 17 I-Sec reserves the right to modify any of the above conditions after giving prior notice of the same to customers.

#### NON-BROKING RELATED

**Below disclaimer to be used in case an advertisement pertains to only Mutual Funds (Money app promotion)**

ICICI Securities Ltd.( I-Sec). Registered office of I-Sec is at ICICI Securities Ltd. - ICICI Venture House, Appasaheb Marathe Marg, Prabhadevi, Mumbai - 400025, India, Tel No : 022 - 2288 2460, 022 - 2288 2470. AMFI Regn. No.: ARN-0845. We are distributors for Mutual funds and all disputes with respect to the distribution activity would not have access to Exchange investor redressal or Arbitration mechanism. Please note that Mutual Fund Investments are subject to market risks, read all scheme related documents carefully. I-Sec does not assure that the fund's objective will be achieved. Please note. NAV of the schemes may go up or down depending upon the factors and forces affecting the securities markets. Information mentioned herein is not necessarily indicative of future results and may not necessarily provide a basis for comparison with other investments. Investors should consult their financial advisers if in doubt about whether the product is suitable for them. The information provided is not intended to be used by investors as the sole basis for investment decisions, who must make their own investment decisions, based on their own investment objectives, financial positions and needs of specific investor. The contents herein above shall not be considered as an invitation or persuasion to trade or invest. Investors should make independent judgment with regard suitability, profitability, and fitness of any product or service offered herein above. I-Sec and affiliates accept no liabilities for any loss or damage of any kind arising out of any actions taken in reliance thereon.

**Below disclaimer to be used in case an advertisement pertains to only Mutual Funds-**

AMFI Regn. No.: ARN-0845. We are distributors for Mutual funds. **Mutual Fund Investments are subject to market risks, read all scheme related documents carefully.** Please note, Mutual Fund related services are not Exchange traded products and I-Sec is just acting as distributor to solicit these products. All disputes with respect to the distribution activity, would not have access to Exchange investor redressal forum or Arbitration mechanism. The contents herein above shall not be considered as an invitation or persuasion to trade or invest. I-Sec and affiliates accept no liabilities for any loss or damage of any kind arising out of any actions taken in reliance thereon.

One Click Mutual Fund portfolio related feature is offered by ICICI Securities. Any complaint / dispute pertaining to the same would not be entertained by Stock Exchanges.

**Below disclaimer to be used in case an advertisement pertains to only NPS-**

PFRDA registration numbers: POP no -05092018. We are distributors of National Pension Scheme. Please note, National Pension Scheme related services are not Exchange traded products and I-Sec is just acting as distributor to solicit these products. All disputes with respect to the distribution activity, would not have access to Exchange investor redressal forum or Arbitration mechanism. The contents herein above shall not be considered as an invitation or persuasion to trade or invest. I-Sec and affiliates accept no liabilities for any loss or damage of any kind arising out of any actions taken in reliance thereon. Investments in securities market are subject to market risks, read all the related documents carefully before investing.

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**Below disclaimer to be used in case an advertisement pertains to only Corporate Fixed Deposits-**

Please note, corporate fixed deposits related services are not Exchange traded products and I-Sec is acting as a distributor to solicit these products. All disputes with respect to the distribution activity, would not have access to Exchange investor redressal forum or Arbitration mechanism. The contents herein above shall not be considered as an invitation or persuasion to trade or invest. I-Sec and affiliates accept no liabilities for any loss or damage of any kind arising out of any actions taken in reliance thereon. Investments in securities market are subject to market risks, read all the related documents carefully before investing.

**Below disclaimer to be used in case an advertisement pertains to only bonds/ NCDs**

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ICICI Securities Ltd. acts as a referral agent to ICICI Bank Ltd. for loan against securities related services & the loan facility is subjective to fulfilment of eligibility criteria, terms and conditions etc.

**Below disclaimer to be used in case an advertisement pertains to only IPO-(Isec not BRLM)**

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**Below disclaimer to be used in case an advertisement pertains to either or pertains to One Click Equity Portfolio, Premium Portfolio, Golden Stock Portfolio etc.**

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AMFI Regn. No.: ARN-0845. PFRDA registration numbers: POP no -05092018. I-Sec acts as a Composite Corporate agent having registration number –CA0113. **Mutual Fund Investments are subject to market risks, read all scheme related documents carefully.** Please note, Mutual Fund, Corporate Fixed Deposits, Bonds, Alternate investment funds, Tax planning, Succession planning, NPS, IPO, Investment Advisory and Loans related services are not Exchange traded products and I-Sec is acting as a distributor to solicit these products. Please note, Insurance related services are not Exchange traded products and I-Sec is acting as a corporate agent to solicit these products. All disputes with respect to the distribution activity, would not have access to Exchange investor redressal forum or Arbitration mechanism. Investments in securities market are subject to market risks, read all the related documents carefully before investing. The contents herein above shall not be considered as an invitation or persuasion to trade or invest. I-Sec and affiliates accept no liabilities for any loss or damage of any kind arising out of any actions taken in reliance thereon.

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