

Request for linking Bank / Demat Accounts to ICICIdirect.com Trading Account

To,
ICICI Securities Ltd.,
Mumbai

Date: _____

Subject: Request for linking Bank / Demat Accounts to ICICIdirect.com Trading Account

Dear Sir/Madam,

I/We hereby request you to **DELINK** and **LINK** existing **Bank / Demat** account as mentioned below:






Request for: Bank Linking Demat Linking Both

For Resident Individual / Corporate Account Holder							
Action Type	Trading A/c no	Bank account no	Demat A/c	Demat A/c	Demat A/c	Demat A/c	Demat A/c
Request for Delinking							
Request for Linking							
For NON Resident Account Holder							
Action Type		Trading A/c no	Bank account no	Demat A/c	Demat A/c	Demat A/c	Demat A/c
Request for Delinking	NRE PINS						
Request for Linking							
Request for Delinking	NRE NON PINS						
Request for Linking							
Request for Delinking	NRO PINS						
Request for Linking							
Request for Delinking	NRO NON PINS						
Request for Linking							

Please note:

- I/We request you to remove the Quantum Optima Facility from our above mentioned savings bank account requested for linking, and all my Quantum Optima Fixed Deposits be closed and credited to my above mentioned savings bank account.
- I/We hereby request you to replace my existing savings bank account number in Demat records, with the above given new savings bank account number. I request you to kindly consider my new savings bank account only for corporate benefits and the bill payments related to all my Demat accounts linked to my ICICIdirect.com trading account.
- I/We therefore authorize ICICI Securities Limited / ICICI Bank Limited to debit my / our above mentioned Linked Savings bank account with applicable Stamp Duty charges payable on execution of this Demat Debit and Pledge Instruction (DDPI)
- I hereby authorize ICICI Securities Limited to collect Bank account Verification letter / Address verification letter from ICICI Bank Limited for submissions at all investments made through ICICI Direct Account (i.e Mutual Funds / FD's) etc.

Thanking You,

 Signature of 1st applicant	 Signature of 2nd holder	 Signature of 3rd holder	 Signature of Demat Joint Holder A	 Signature of Demat Joint Holder B
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ICICI Securities Ltd.

This document is voluntary. However, the same is required to be executed by the customers in order to avail of seamless trading platform with integrated bank account, demat account and broking account. If you do not wish to use the services of ICICI Bank Limited and ICICI Securities Limited, you need not execute such documents.

Addition of Mandate Holder (BANK MANDATE)

To,

ICICI Bank Ltd.

Sub: Request to add a mandate holder

Reference: Addition of mandate holder in respect of existing ICICI bank account number Bank Account

Dear Sir / Madam,

I am desirous of appointing ICICI Securities Limited ("**ICICI Securities**") as a mandate holder in respect of Bank Account with ICICI Bank Limited ("ICICI Bank"), the details of which are as follows:

Date :	
Place :	
Name of the Sole Holder/First Holder :	
Name of the Second Holder :	
Name of the Third Holder :	
Account Number :	
Customer ID :	

I/We wish to participate in the trading, investment and other services and products offered by ICICI Securities. In order to facilitate proper execution of all transactions, including but not limited to, transactions for purchase (including margin trading), investment in shares & securities, scrips, stocks, bonds, debentures, mutual fund units, units of any collective investment scheme or any other security or financial instrument, derivatives, including but not limited to, Equity Derivatives, Currency Derivatives, Interest Rates Derivatives, Commodities Derivatives, forwards, futures, REITS, INVITS, swaps and options, purchase, sale, investment, subscription of Public Provident Fund, National Savings Schemes, New Pension System / Scheme and other savings schemes of Government of India or other undertakings, transactions for payment to allowable billing companies, Fixed Deposits, Life Insurance, General Insurance or order for purchase or application for any offer or public issue of shares, open offers made by the issuers, right issues made by Issuers, transactions for securities lending & borrowing system (hereinafter referred to as "Purpose"), I/We am/are hereby appointing ICICI Securities as my/our mandate holder and authorize ICICI Securities for the following :




1. To operate, block and/or debit the above mentioned Bank Account as per my instructions to ICICI Securities furnished in any manner acceptable to ICICI Securities, to meet all my obligations in terms of the rights and obligation document for transactions done on National Stock Exchange of India Limited (NSE) & Bombay Stock Exchange Limited (BSE), or any other recognized Stock Exchange by transferring the funds to designated bank accounts of ICICI Securities Ltd as mentioned in Annexure or any other bank account/s of ICICI Securities Ltd as may be notified by ICICI Securities Limited on its website to me from time to time on my instructions and to give other instructions relating to the Bank Account(s), for any matter concerning the above mentioned Purpose, including charges for account opening and availing of various services and facilities from ICICI Securities.
2. To credit/debit the Bank Account(s) with monies received from /delivered by ICICI Securities erroneously on my/our behalf.
3. To debit my/our Bank Account(s) towards monies / fees /charges etc. due to ICICI Securities, ICICI Bank and to discharge all obligation in this regard on my/ our behalf to ICICI Securities, ICICI Bank.
4. To debit/credit my/our Bank Account(s) towards monies/fees/charges etc. payable to ICICI Securities, ICICI Bank and/or to a Third Party Product / Service Provider or to any of the affiliates/subsidiaries of ICICI Securities / ICICI Bank by virtue of I/we using/ subscribing to any of the facilities/services provided either by ICICI Securities, ICICI Bank, or by a Third Party Product/ Service Provider or by any of the affiliates / subsidiaries of ICICI Securities /ICICI Bank.

5. To receive my/our bank transaction statements, either in a physical copy format or a format which can be uploaded in system, from time to time.
6. To create the first and paramount lien on the Bank Account to exercise such lien in the event of any loss suffered/ costs incurred on account of any transaction done / executed as per my/our instructions or as per the terms and conditions of the products/services availed of by me/us.

I/We hereby agree and confirm that this mandate letter shall be canceled or revoked by furnishing a written intimation of the cancellation/revocation request to ICICI Securities and due intimation to ICICI Bank, which request shall bear an acknowledgment. I/We further agree and confirm that this mandate letter will be revoked / cancelled only after fulfillment of my/our obligation pertaining to unsettled transactions and outstanding dues payable to ICICI Securities /ICICI Bank Limited/ and/or t or to a Third Party Product/Service provider or to any of their affiliates/subsidiaries as per the terms and -conditions of the services/products availed by me/us.

Customer Signature(s) (To be signed by all account holders)

Signature to be as per Bank records:

		
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Notes:

Signatures of all account holders are mandatory for mandate addition.

CC: ICICI Securities Limited

Annexure 1

Accounts of ICICI Securities: -

Bank Accounts: -

A] Client Bank Account

ICICI Bank Limited Account Number 000405072995, 000405116455, 000405072693, 000405009257, 000405120531, 000405117015, 000405005406, 000405000256, 000405010710, 000405010710, 000405100961 , 000405111850, 000405117019 , 000405008178, 000405010655, 000405117018, 000405117017, 000405070671, 000405072227, 000405005970, 000405116456, 000405120529, 000405072670, 000405110913, 000405034126, 000405070810, 000405103343 , 000405106707, 000405110635, 000405009258, 000405114722, 000405110876, 000405036165, 000405100960, 000405101438, 000405074806, 000405070670, 000405010656, 000405117020, Standard Chartered Account Number 22205271851, The Hongkong and Shanghai Banking Corporation Account Number19391911001, Kotak Mahindra Bank Account Number 5811824739, Axis Bank Ltd Account Number 4010202264268, HDFC Bank Ltd Account Number 10340000284 405071200, IDFC Bank Ltd Account Number10017201536, CITIBANK Account Number 4843037

B] Settlement Bank Account ICICI Bank Limited Account No: 000405009257, 000405009258, 000405010710, 000405010655,000405070671, 000405110913, 000405117018

Demat Debit and Pledge Instruction (DDPI)

Background: The Client desirous of investing and/ or trading in securities, making investments and entering into various transactions (hereinafter, for the sake of convenience, collectively referred to as “Purpose”) with or through ICICI Securities Limited (“ICICI Securities”) and for this Purpose the Client has to sign the account opening form together with other documents including the Mandatory Rights and Obligations prescribed by SEBI/Stock Exchanges, Risk Disclosure Document, Policy & Procedures, Guidance Note, Letter of Confirmation by the Client in favour of ICICI Securities and such other documents provided to the Client from time to time and/or published on the website of ICICI Securities (hereinafter collectively referred to as the “Account Opening Documentation”).

SEBI has prescribed this document under which the client shall explicitly agree to authorize the stock broker to access their Beneficial Owner account for the limited purpose of meeting pay-in obligations for settlement of trades executed, to initiate pledge for the limited purpose of meeting the margin obligation, Mutual Fund (MF) transactions executed on stock exchange order entry platforms and tendering shares in open offers through exchange platforms.

This Instruction is voluntary, however, the same is required to be executed by the client in order to avail seamless trading platform with integrated demat account and broking account. If client do not opt for this Instruction, then client has to complete the settlement by issuing physical delivery instruction slip (DIS) or electronic delivery instruction slip (eDIS).

Pursuant to the provisions of the Account Opening Documentation, the Client [hereinafter referred to as the “Account Holder”] either has (i) existing demat account (mentioned/referred in application bearing reference number as set out in item number 2 in the schedule – B below) or will open (ii) new demat account (to be opened with application bearing reference number as set out in item number 2 in the schedule - B below), with ICICI Bank Limited (“Bank”). All such demat accounts (either existing or newly opened) are entailed in item number 1 of the schedule - B below and described as the “Associated Accounts” for the purpose of Account Opening Documentation.

Pursuant to the provisions of the Account Opening Documentation, the Account Holder has agreed to execute and deliver DDPI to ICICI Securities and instructions as referred below to ICICI Securities in respect of the Associated Accounts. The Account Holder hereby authorise ICICI Securities to annex the Associated Accounts to this DDPI.

I/We hereby request ICICI Securities/Bank to give effect to this DDPI only after filling/verifying of the details of Associated Accounts and execution of this DDPI by ICICI Securities, who shall be the last party executing this DDPI (“Execution Date”).

I/We acknowledge and agree that ICICI Securities may at its sole discretion decide to suspend the Associated Accounts, close my/our trading account and solely reserves the right to suspend/ cancel services / facilities being granted to me/us by virtue of the Account Opening Documentation and may at its discretion decide to cancel this DDPI granted and executed by me/us at any time it deems fit and proper by providing such intimation on registered e-mail id or any other form.

Instructions:

Under the terms of the Account Opening Documentation, the Account Holder has agreed to execute and deliver a DDPI to ICICI Securities / Bank in respect of the Associated Accounts of which he/she is the holder, which the Account Holder hereby does as under: -

To transfer/pledge/re-pledge any securities/MF units/tendering shares in open offer from any Associated Account(s) to any account(s) of ICICI Securities specified in the schedule - A hereto or to such other accounts as may be specified on the website of ICICI Securities and by electronic mail or otherwise for the following purposes:

To transfer securities towards stock exchanges related delivery and/or settlement obligations arising out of trades executed by me/us on the stock exchanges through ICICI Securities.	Signature of the Applicant	Signature of the Co-Holder A	Signature of the Co-Holder B
To initiate pledge in favour of ICICI Securities for the limited purpose of meeting the margin obligation of the client in connection with the trades executed/to be executed by the client on the Exchanges through ICICI Securities and to further re-pledge the securities in favour of clearing members (CM) and / or Clearing Corporations (CC) for the limited purpose of meeting the margin obligations.	Signature of the Applicant	Signature of the Co-Holder A	Signature of the Co-Holder B
Mutual Fund transactions being executed on Stock Exchange order entry platforms	Signature of the Applicant	Signature of the Co-Holder A	Signature of the Co-Holder B
Tendering shares in open offers through Stock Exchange platforms	Signature of the Applicant	Signature of the Co-Holder A	Signature of the Co-Holder B

The aforesaid instructions may be acted upon by ICICI Securities on behalf of me/us or any of the Account Holder(s), and any such act shall be binding upon all the Account Holder(s).

PROVIDED THAT in the event of any merger/demerger of ICICI Securities with any other entity or into another entity, Client shall be given a prior intimation of such corporate restructuring, not less than one month in advance of any such restructuring taking effect, which intimation may be inter-alia given over the phone, through the website of the companies or electronically.

In the event of any overriding instructions of the Depository or any court of law resulting in any unavailability of securities, ICICI Securities shall not be obliged to perform any instructions. I/We have perused the Account Opening Documentation and consent to the provisions thereof. The aforesaid instructions have been given by me/us in consideration of the Account Opening Documentation and the mutual rights, covenants and obligations thereunder.

This DDPI may be revoked by me/us at any time by furnishing a written intimation of cancellation/revocation request (which request shall bear an acknowledgement of receipt by ICICI Securities), however, such revocation shall not affect my/our obligations outstanding at the time such revocation and the instructions/authority granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this DDPI and all outstanding towards ICICI Securities have been settled.

The Schedules described in this DDPI are as under: -

Schedule – A

Demat Accounts of ICICI Securities Limited:

A] Pledge Account:

Client Margin Pledge Account: 1601430105985388, IN30302875397535

Client Margin Funding Account: 1601430105985392, 1601430105985409, IN30302875397519, IN30302875397527

B] Pool Account:

ICICI Bank Demat account No: IN30018313632245, 1601430100001024, IN300183 13632254, 1601430100001077, 1601430100001062, ICCL Pool 1100001000019779, NCL Pool 11000011000018701, NSDL CM BP ID: IN620031, CDSL CM BP ID:996

Schedule – B

Details of Associated Accounts:

Item No.	Particulars	Details
1	ICICI Demat Account Number(s)	
2	Application Number	

Signature of the Applicant	Signature of the Co-Holder A	Signature of the Co-Holder B
Name of the Applicant	Name of the Co-Holder A	Name of the Co-Holder B

Name of I-Sec Official / Authorised signatory _____

Employee code of I-Sec Official / Authorised signatory _____

Signature of I-Sec Official / Authorised signatory _____

I-Sec Stamp

Place:- _____ Date: _____