

**DECLARATION FORMING PART OF APPLICATION FOR REGISTRATION
AS AN INDEPENDENT ASSOCIATE/ INDEPENDENT FINANCIAL ASSOCIATE**

Name of the Applicant : _____

Match Id : _____

Firm Name/Trade Name
(As per AMFI registration) : _____

Pan Number : _____

Email Id : _____

Phone no : _____

Short Name : _____

Sourced by Code : _____

GST Details : _____

ARN Number : _____

ARN (From to Expiry Date) : _____ To _____

EUIN Number : _____

I/We, hereby make an application for registration as an IA /IFA of ICICI Securities (I-Sec)

I/We have the above mentioned trading account with I-Sec. I/We have submitted the necessary KYC details/documents while opening the above trading account. I/We hereby request and authorize I-Sec to rely on the KYC details/documents submitted by me/us in the above account opening form and use the same for this registration also. I/We confirm that the details, as mentioned in the above account opening form, are true, correct and valid as on the date of the application.

[] I/ We or my/our relatives have never been employed with I-Sec or any company of ICICI group.

OR

[] I/ We have been employed with [] I-Sec or [] _____ (name of ICICI group company)

OR

[] My/our relative _____ (Name and PAN) _____ are/have been employed with [] I-Sec or [] _____ (name of ICICI group company)

I/We or any of my relatives or family members are not related to any employees including former employee of any ICICI group

I / We further confirm that I/we have read and understood the registration documentation uploaded on the www.icicidirect.com >> Home Page >> Business Partners Tab >> Experience the new universe link >> Download Forms >> Business Partners – IA/IFA forms >> IA/IFA Documentation (“Registration documentation”) and undertake to abide by them, by signing this declaration.

I / We agree to compensate I-Sec for any and all losses, claims, damages and liabilities incurred by it in connection with my/our non-performance or breach of the obligations, warranty, covenant or undertaking as herein contained.

I-Sec has two brokerage sharing revenue structure and I/We need to choose one Plan:

- A) Plan 15% for 60 months: 15% Brokerage sharing for 60 Months and pay-out will be done on monthly cycle.

(OR)

- B) Plan 35/25% for 24 months: 35% Brokerage sharing for first 12 months and 25% Brokerage sharing for next 12 months. Pay-out will be done on quarterly basis after 5th quarter. Eg. Brokerage sharing for Apr to Jun, 2020 will be done in Jul-2021.

(Please choose either of the above option)

I / We agree to the above mentioned sharing structure. I / We understand that above brokerage sharing is subject to deduction of TDS and other levies. ICICI Securities Limited (I-Sec) reserves the right to amend the above structure by giving advance 15 days’ notice to its partners.

I/We agree that I-Sec may, at its discretion, immediately terminate this relationship if any incorrect declaration is made by me/us with respect to my/our application for registration or anytime thereafter.”

Signature: _____

Date:

Place: